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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of 3

**Complete if Known**

Application Number	10/520,831
Filing Date	August 12, 2005
First Named Inventor	Jean-Marie GATTO
Art Unit	3713
Examiner Name	
Attorney Docket Number	CYBS5819PPCT/US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number <small>Number-Kind Code<sup>2</sup> (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	US- 20020147040 A1	10-10-2002	Walker et al.		
2	US- 20030211881 A1	11-13-2003	Walker et al.		
3	US- 5629980 A	05-13-1997	Stefik et al.		
4	US- 5715403 A	02-03-1998	Stefik et al.		
5	US- 5812662 A	09-22-1998	Hsu et al.		
6	US- 5953502 A	09-14-1999	Hebbig, Sr.		
7	US- 6077163	06-20-2000	Walker et al.		
8	US- 6149522 A	11-21-2000	Alcom et al.		
9	US- 6157721 A	12-05-2000	Shear et al.		
10	US- 6298446 B1	10-02-2001	Schreiber et al.		
11	US- 6327652 B1	12-04-2001	England et al.		
12	US- 6330670 B1	12-11-2001	England et al.		
13	US- 6749510 B2	06-15-2004	Giobbi		
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document <small>Country Code<sup>3</sup> Number<sup>4</sup>-Kind Code<sup>5</sup> (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
14	WO0198875 A2/A3		12-27-2001	Amino Holdings Limited		

Examiner Signature	Date Considered

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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	15	"Using Software Restriction Policies in Windows XP and Windows .NET Server to Protect Against Unauthorized Software", Microsoft .NET Technical Article; January 2002 (5pgs).			
	16	Microsoft Corporation, "What's New in Security for Windows XP Professional and Windows XP Home Edition", Microsoft TechNet, July 2001 (42pgs).			
	17	Reuters, "Microsoft Beefed Up Security in Windows XP" Tech Reviews.			
	18	Microsoft Corporation, "Protect Your System From Viruses", Microsoft Windows XP Professional.			
	19	Office Action mailed January 30, 2007, in related Australian Divisional Application No. 2006235793.			
	20	Supplemental European Search Report completed September 8, 2005, in corresponding European Patent Application No. EP 02 77 5906 (2pgs).			
	21	International Search Report completed December 10, 2002, in related International Application No. PCT/US02/29927, filed September 19, 2002 (3pgs).			
	22	Written Opinion dated March 16, 2004, in related International Application No. PCT/US02/29927, filed September 19, 2002 (5pgs).			
	23	International Preliminary Examination Report dated February 17, 2005, in related International Application No. PCT/US02/29927, filed September 19, 2002 (3pgs).			
	24	Eric Fleischman, "Code Signing," The Internet Protocol Journal, (5)1, <a href="http://www.cisco.com/web/about/ac123/ac147/archived_issues/ipj_5_1/code_signing.html">http://www.cisco.com/web/about/ac123/ac147/archived_issues/ipj_5_1/code_signing.html</a> , printed 3/5/07 (10pgs).			

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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	25	Jeff Schmidt, Microsoft Windows 2000 Security Handbook, Chapter 6. Drivers, ISBN 0-7897-1999-1.			T <sup>2</sup>
	26	Windows File Protection and Windows, <a href="http://www.microsoft.com/whdc/archive/wfp.mspx?pf=true">http://www.microsoft.com/whdc/archive/wfp.mspx?pf=true</a> , printed 3/5/07 (8pgs).			
	27	Windows File Protection Guide, <a href="http://www.techspot.com/tweaks/wfp/print.shtml">http://www.techspot.com/tweaks/wfp/print.shtml</a> , printed 3/5/07 (6pgs).			
	28	Paul Thurrott, "Microsoft's Secret Plan to Secure the PC, A Web Exclusive from WinInfo", June 23, 2002, Instant Doc #25681 (2pgs).			
	29	Office Action dated July 4, 2006, in related Canadian Application No. 2,491,447.			
	30	Office Action dated March 1, 2007, in related Canadian Application No. 2,491,447.			
	31	Office Action dated August 18, 2006, in related Canadian Divisional Application No. 2,548,823.			
	32	Office Action dated March 28, 2007, in related Canadian Divisional Application No. 2,548,823.			
	33	Office Action dated November 25, 2005, in related Canadian Application No. 2,491,447.			
	34	CJNET News.com, XP for Slot Machines, ATMs Released, November 28, 2001, Found February 14, 2007 at: <a href="http://news.com.com/2100-1040-276302.html">http://news.com.com/2100-1040-276302.html</a> (2pgs).			

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